

Page 1 of 1 #3  
Sector #

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/879,810	06/12/2001	Scott C. Johnson	SURG:147

CONFIRMATION NO. 3706

FORMALITIES LETTER



\*OC000000006577697\*

O'KEEFE, EGAN & PETERMAN, L.L.P.  
Building C, Suite 200  
1101 Capital of Texas Highway South  
Austin, TX 78746

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1576.
  - \$1296 for 144 total claims over 20.
  - \$280 for 7 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1996.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/12/2001 MBELET1 00000005 09879810  
01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:202 294.00 OP  
04 FC:203 1296.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Scott C. Johnson, et al.

Filed: June 12, 2001

For: SYSTEMS AND METHODS FOR PROVIDING DIFFERENTIATED SERVICE  
IN INFORMATION MANAGEMENT ENVIRONMENTS

Serial No.: 09/879,810

Group Art Unit: Unknown

Examiner: Unknown

Atty Docket No.: SURG:147

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid, envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

10-3-01 Diane C Petto  
Date Name

BOX: MISSING PARTS

Assistant Commissioner For Patents

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing are:

- ☐ New Patent Application consisting of \_\_\_\_\_ pages and \_\_\_\_\_ Figs.
- ☐ Continued Prosecution Application (37 CFR §1.53(d)) -- The parent application is USSN \_\_\_\_\_ filed on \_\_\_\_\_. The prior Examiner was \_\_\_\_\_ in Group Art Unit \_\_\_\_\_.
- ☒ Response to Missing Parts
- ☐ Assignment and Recordation Cover sheet
- ☒ Inventors' Declaration
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

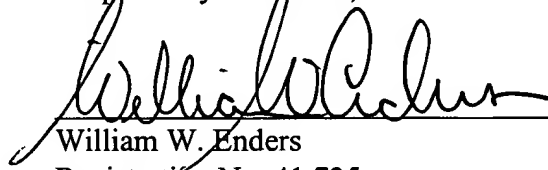
- ☐ Information Disclosure Statement
- ☐ Petition for extension of time
- ☐ Response to Office Action
- ☐ Preliminary Amendment
- ☐ Submission of Formal Drawings
- ☐ Informal Drawings
- ☐ Notice of Appeal
- ☐ An Appeal Brief (an original and two copies)
- ☒ Check in the amount of \$2025.00
- ☒ The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/SURG:147, including any concurrent or future required extension of time fees.
- ☒ In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.
- ☒ Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- ☒ Other: Election Under 37 CFR §§3.71 and Statement Under 3.73 and Power of Attorney

### FEE CALCULATION:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	Total Claims (37 CFR 1.16(e))	<u>164</u> - 20 =	144	x \$ 18.00	\$2592.00
	Independent Claims (37 CFR 1.16(b))	<u>10</u> - 3 =	7	x \$ 84.00	\$ 588.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x \$ 270.00	\$
				Basic Fee (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 3920.00
	Surcharge for submission of Response to Missing Parts if applicable (\$130)				\$ 130.00
	Reduction by 50% for filing by Small Entity (Note: 37 CFR 1.9, 1.27, 1.28)				\$ 2025.00
	ASSIGNMENT FEE (IF FILED WITH APPLICATION)				\$
	TOTAL =				\$ 2025.00

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,



William W. Enders  
Registration No. 41,735  
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.  
1101 Capital of Texas Highway South  
Building C, Suite 200  
Austin, Texas 78746  
512-347-1611  
512-347-1615 (Fax)